

File No.: 9-13528-162US

December 5, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert R. Williams et al.
Serial No.: Not yet assigned.
Filed: Concurrently herewith.
Title: METHOD AND APPARATUS FOR CONNECTING AN
IP-BASED CLIENT TO A SERVER THROUGH AN
OSI NETWORK

Agent of Record: Max R. Wood **Tel:** (613) 230-6072

Assistant Commissioner for Patents
Washington, D.C. 20231
U.S.A.

Sir:

INFORMATION DISCLOSURE STATEMENT
PRIOR TO FIRST OFFICE ACTION

Pursuant to the duty of disclosure under 37 CFR 1.56, copies of the references listed on the attached PTO Form 1449 are submitted herewith.

The Examiner is kindly requested to consider these references during the examination of the above-identified application, making the references of record, and to return an initialed copy of the PTO-1449 Form to the below-signed agent.

In accordance with 37 CFR 1.97(h), the submission of the present information is not to be construed as an admission that such information is, or is considered to be material to patentability.

Respectfully submitted,
ROBERT R. WILLIAMS et al.

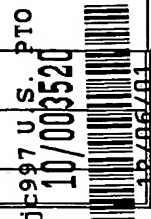
By: 

Max R. Wood
Agent of Record, Registration No. 40,388
OGILVY RENAULT
1600 - 1981 McGill College Avenue
Montreal, Quebec, Canada H3A 2Y3

Encls.



Form PTO-1449 (Rev.7-80)	U.S. Department of Commerce Patent & Trademark Office	ATTY. DOCKET NO. 9-13528-162US	SERIAL NO. Not yet assigned.
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT Robert R. Williams et al.	
		FILING DATE: Concurrently herewith.	GROUP



U.S. PATENT DOCUMENTS

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date
	AA	6,229,810	8 May/01	Gerzberg et al.	370	401	31 Dec/97
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		Document number	Date	Country	Class	Subclass	Translation
	AL						
	AM						
	AN						
	AO						
	AP						

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	
	AS	
	AT	

Examiner	Date considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	